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Improving stress tolerance in plants by gene transfer Niklas Holmberg and Leif Bülow

Plant productivity is greatly influenced by environmental stresses, such as freezing, drought, salinity and flooding. One of the ways in which tolerance to these factors can be achieved is by the transfer of genes encoding protective proteins or enzymes from other organisms. Key approaches currently being examined are engineered alterations in the amounts of osmolytes and osmoprotectants, saturation levels of membrane fatty acids, and rate of scavenging of reactive oxygen intermediates.

s the earth's population increases, new means of improving crop productivity must be found to increase the resources available. One way of doing this is to develop crops that are more tolerant to such abiotic stresses as drought, flooding, heat, radiation, salinity, chilling and freezing (Fig. 1), so that new land can be brought under cultivation. The problem with traditional plant breeding for achieving this end is that it is time consuming and laborious; it is difficult to modify single traits; and it relies on existing genetic variability. However, genetic engineering can now be used as a relatively fast and precise means of achieving improved stress tolerance. Many organisms have evolved traits that enable them to survive in extreme environments, and thus the gene(s) that confer these properties can potentially be introduced into higher plants.

For several years, microorganisms have been used as models to characterize the properties of stress-induced genes and their products from a variety of organisms. Recently, however, attention has been directed towards higher plants, and there have been successful attempts to introduce stress-tolerance-conferring genes¹. This review focuses on recent attempts to protect higher plants from abiotic stress by gene transfer.

Responses to a changing environment

Organisms are continually exposed to environmental stresses that influence their development, growth and productivity. Stressrelieving genes that are transcribed might encode enzymes involved in a particular metabolic pathway, regulatory proteins or



proteins with specific protective properties. Stress responses enable the organism to adapt to an unfavourable situation – often by changing the metabolic flow.

With the exception of flooding, the major abiotic stresses all result in water-deficit stress. The cell membrane serves as an impermeable barrier to macromolecules and most low molecular mass substances. When the extracellular solute concentrations are altered or extracellular ice forms, there is a flux of water from the cells, causing a decrease in turgor and an increase in concentrations of intracellular solutes², putting a strain on membranes



^aNote that there are few reports of transgenic crops evaluated in field trials under realistic stress conditions.

and macromolecules. Minor limitations in water availability cause a reduced photosynthetic rate, but further reductions lead to a complete inhibition of photosynthesis. Under conditions in which photosynthesis is impaired, but chloroplasts are exposed to excess excitation energy³, there is photoreduction of oxygen and concomitant production of reactive oxygen intermediates, such as superoxides and peroxides, which damage membranes and enzymes⁴.

There are several biochemical functions involved in the response of the plant cell to osmotic stress, such as ion exclusion, ion export, cell wall modifications, osmotic adjustments and osmoprotection¹. Furthermore, plant cells contain antioxidant enzyme systems, such as peroxidases and superoxide dismutases, which scavenge reactive oxygen intermediates⁴.

Expressing foreign genes in plants: potential problems

The basic strategy of transferring an ability to respond to stress in a particular way into non-tolerant crops can be divided into six basic steps. These range from discovering a naturally occurring stress-tolerant organism to creating a stress-tolerant higher plant, and are described in Box 1. However, there are many obstacles to foreign gene expression in higher plants, and these are summarized in Box 2; the ability to overcome these obstacles is essential for creating stress-tolerant plants.

Transformation

Techniques for transferring foreign genes into the genomes of plants include electroporation, polyethylene glycol-mediated gene transfer, microinjection, particle bombardment and *Agrobacterium*-mediated gene transfer⁵. *A. tumefaciens*-based transformation is the most widely used system for introducing genes into dicotyledons (such as tobacco, potato and *Arabidopsis*), whereas particle bombardment is the most frequently used for monocotyledons (such as maize, wheat and rice)⁶. All the techniques carry certain problems.

Expression systems

When the concentration of an expressed protein is in direct relation to its biological activity, it is essential that the introduced

Box 2. Potential pitfalls when expressing foreign genes in higher plants

- Transformation. Techniques for transforming the host plant are available in most cases, but there are exceptions.
- Adequate expression. Adequate expression of the gene is essential, with high expression at the right time.
- Cellular localization. Expression in specific tissues and organelles is often essential for achieving the desired results.
- Post-translational modifications. Correct processing and folding are often prerequisites for function.
- Prosthetic-group acquisition. Limitations in prosthetic group acquisition may inactivate foreign enzymes.
- Precursor availability. Precursor shortage will place limitations on product formation.
- Inhibitory environments. Suboptimal enzyme activity may be because of abnormal pH, temperature or salt concentrations.
- Side-reactions of new compounds. Endogenous enzyme activities may deplete the product pool or form toxic compounds.

gene is expressed in high amounts (although this problem may not be acute with enzymes if the catalytic turnover is sufficiently high). In order to accomplish high expression rates, a strong, constitutive promoter is needed. The CaMV 35S promoter drives high expression throughout the plant⁷ and has a broad host range – for example, it has been used in tobacco, potato, alfalfa, Arabidopsis and rice. More-specific promoters are needed in order to direct expression to a particular tissue. For example, the promoter derived from the Arabidopsis Rubisco small subunit gene, ats1A, only drives expression in green tissues⁸. Other types are the granule-bound starch synthase G28 promoter⁹ and patatin type I promoter¹⁰, which direct gene expression specifically to potato tubers. Different plant promoters induced by drought, cold, salinity, abscisic acid (ABA) and anoxia have been used to drive the expression of the reporter gene GUS in transgenic plants. For example, the osmotin promoter¹¹ responds to salinity, drought and ABA, and the glyceraldehyde-3-phosphate dehydrogenase 4 promoter¹² responds to anoxia. These promoters should be ideal for limiting expression to the precise moment when the stressrelieving gene is needed.

An intriguing way to achieve high expression is to use scaffold attachment regions (SARs). These regions have been shown to increase expression by >100-fold per copy in tobacco cells¹³. The SARs may act by forming loops in the chromatin structure, thereby reducing the severity or likelihood of gene silencing in cells containing a limited number of transgenes. However, high amounts of the mRNA of a particular gene do not guarantee corresponding amounts of the product: problems with codon usage, translational regulation and post-translational modification may limit yield.

Cellular localization

A first sign of abiotic stress is often injury to specific membranes. Chilling and freezing affect the membrane fluidity, and perturb membrane-bound processes such as the photosynthetic apparatus. The formation of reactive oxygen intermediates, a secondary effect of stress, also damages membranes. In this latter case, chloroplast membranes are especially affected because they are the source of oxygen-radical production. Damage to vital membranes and membrane-bound proteins involved in energy-producing processes subsequently influences the metabolism of the whole plant. In many cases, it may be desirable to locate membrane-modifying, osmoprotectant-producing, or radical-scavenging enzymes to defined organelles. Transit peptides are therefore often necessary to ensure correct localization of the enzymes expressed. A typical transit sequence used to direct polypeptides to chloroplasts is from the small subunit of Rubisco^{13,14}.

Proteolysis, protein folding and prosthetic group acquisition

There is a risk of proteolytic degradation when expressing short polypeptides in plants. This problem can be overcome by fusing the polypeptide to another protein, but this risks changing the biological activity of the original polypeptide. One such fusion partner is *Staphyloccocal* protein A, or domains thereof. The Spa domain of protein A has been used when expressing antifreeze proteins from fish in plants¹⁵. An additional advantage of using protein A as a fusion partner is the potential to detect and purify the expressed protein using IgG antibodies.

There have been no attempts to facilitate folding in transgenic plants by co-expressing chaperone proteins. However, experiments with microorganisms suggest that this might be necessary for the expression of large enzymes that contain multiple disulphide bridges.

Oxygen-radical-scavenging enzymes are usually dependent on metal-containing prosthetic groups; hence, the local availability of metals such as iron, zinc, copper or manganese may be prerequisite for functional activity. Metals are also vital parts of the porphyrin groups of the light-harvesting pigments, and thus competition for these compounds could occur if they are not present in excess.

Precursor availability

The amount of precursor available is critical when introducing an enzyme that catalyses the production of osmoprotectants and osmolytes such as glycine betaine, proline, mannitol or trehalose. These compounds have some effects at millimolar concentrations, but optimum protection may not be reached until molar concentrations are present. The precursor can either be extracted from the environment or be synthesized in the cell via existing metabolic pathways. Hence, it is desirable that enzyme systems that catalyse precursor formation are under feedback control, so that the pool of precursor will be replenished (even though a large part of metabolism will be shifted towards osmoprotectant synthesis). It is also important to investigate the intracellular transport of the precursor, especially if the osmoprotectant/osmolyte-producing enzyme is localized to a particular organelle.

Inhibitory environments

The intracellular conditions in the transgenic plants (e.g. pH, temperature, redox potential, ionic strength and presence of metals) will affect foreign proteins or enzymes that normally operate under different conditions. These problems will be minimized if the introduced gene is taken from a closely related plant.

Side-reactions of new compounds

It is impossible to foresee exactly all metabolic changes that might occur when a foreign gene is expressed in a plant. Unwanted sidereactions could degrade the pool of the desired product, or worse, toxic compounds may be formed from the decomposition or enzymatic degradation of the product. Careful analysis of the transgenic plants, for example using NMR, is extremely valuable for the detection of any metabolic changes.

Improved stress tolerance in higher plants

Several different approaches to improve the stress tolerance of plants by foreign gene transfer have been attempted (Table 1). The most consistently successful approach is the introduction of genes encoding enzymes that catalyse the conversion of a naturally occurring substrate into a product with osmoprotective properties. Other important genes encode membrane-modifying enzymes; radical-scavenging enzymes; stress-induced proteins; and hypoxia/anoxia-reducing proteins.

Production of osmoprotective compounds

The accumulation of low molecular mass osmoprotectants and osmolytes (such as quaternary amines, amino acids and sugar alcohols) is considered to be an important strategy that plants use to overcome environmental stress. However, some species are able to accumulate such compounds more efficiently than others. For example, rice, potato and tobacco accumulate limited amounts of the potent osmoprotective compound glycine betaine. This makes them excellent targets for introducing osmoprotectant/osmolyte-producing enzyme systems. Two mechanisms are thought to lie behind the activity of these substances:

- The ability to raise the osmotic potential of the cell, thus balancing the osmotic potential of an externally increased osmotic pressure.
- The ability to stabilize membranes and/or macromolecular structures.

Table 1. Foreign genes expressed in transgenic plants				
Gene	Origin	Host	Stress	Refs
BetA	E. coli	Tobacco	Salinity	16
BetA	E. coli	Potato	Freezing	G. Lilius <i>et al.</i> unpublished
codA	Arthrobacter globiformis	Arabidopsis	Salinity and drought	17
p5cs	Vigna aconitifolia	Tobacco	Drought	18
Mltd	E. coli	Arabidopsis	Salinity	19
Mltd	E. coli	Tobacco	Salinity	20
TPS1	Saccharomyces cerevisiae	Tobacco	Drought	21
SacB	Bacillus subtilis	Tobacco	Drought	22
fad7	Arabidopsis	Tobacco	Chilling	23
Des9	Anacystis nidulans	Tobacco	Chilling	24
HVA I	Barley	Rice	Salinity and drought	6
Afp	Winter flounder	Tobacco	Freezing	26
afa3	Winter flounder	Tomato	Freezing	18
Mn-Sod	Nicotiana plumbaginifolia	Alfalfa	Drought and freezing	15
Mn-Sod	N. plumbaginifolia	Tobacco	Oxidative	30
Fe-Sod	Arabidopsis	Tobacco	Oxidative	31
Gr/Cu,Zn-Sod	E.coli/Rice	Tobacco	Oxidative	33
vhb	Vitreoscilla stercoraria	Tobacco	Hypoxia and anoxia	35

Choline dehydrogenase from E. coli catalyses the conversion of choline to glycine betaine via the intermediate betaine aldehyde. When the *betA* gene, which encodes choline dehydrogenase, was introduced into tobacco¹⁶ and potato (G. Lilius et al., unpublished), salt-tolerant and freezing-tolerant phenotypes were achieved, respectively. Even though the enzyme localizes to the cytoplasm, which only occupies approximately 5% of the total cell volume, it is unclear whether the glycine betaine concentrations achieved (5 μ mol⁻¹ g⁻¹ dry weight in the potato) are high enough to facilitate osmotic adjustments. Instead, stabilization of cellular structures and macromolecules might underlie both phenotypes. Another possibility for introducing glycine betaine into transgenic plants is by using choline oxidase from Arthrobacter globiformis or A. pascens. This enzyme catalyses both steps in the conversion of choline into glycine betaine. A salt- and freezingtolerant phenotype was also created by introducing the codA gene from A. globiformis, encoding choline oxidase, into Arabidopsis¹⁷. Using a transit peptide, the enzyme was localized to the chloroplasts, where glycine betaine concentrations of up to 50 mM were estimated; this may be high enough to facilitate osmotic adjustment.

Structurally, the amino acid proline resembles glycine betaine, and they also both have osmoprotective properties. The p5cs gene from moth bean (Vigna aconitifolia), encoding a bifunctional enzyme containing the catalytic activities of γ -glutamyl kinase and glutamic- γ -semialdehyde dehydrogenase, has been used to transform tobacco¹⁸. This enzyme, Δ^1 -pyrroline-5-carboxylate synthetase, catalyses the conversion of glutamate to Δ^1 -pyrroline-5-carboxylate, which is then reduced to proline. Transgenic tobacco expressing this enzyme produced 10- to 18-fold more proline than control plants, amounting to about 6.5 mg g⁻¹ fresh leaf under drought-stress conditions. Furthermore, the transgenic plants overproducing proline were demonstrated to have enhanced biomass production and flower development under salt stress. However, the decreased osmotic potential in the leaf sap of the transgenic tobacco during water stress raises serious questions regarding the relationship between the overproduction of proline and water-stress tolerance. The most obvious result of overproducing an osmolyte in plant cells would be an increased osmotic potential of the leaf sap, facilitating osmotic adjustment to the imposed water stress.

Mannitol, a sugar alcohol, has been overproduced in both Arabidopsis and tobacco by expressing the bacterial mltd gene, encoding mannitol 1-phosphate dehydrogenase^{19,20}. Seeds of transgenic Arabidopsis plants were germinated in elevated salt concentrations and possessed enhanced germination rates. The maximal mannitol concentrations achieved were 100-150 mM, and thus the improved stress tolerance was attributed more to the osmoprotective properties of mannitol (e.g. the stabilization of subcellular membranes and/or macromolecular structures) than to osmotic adjustment. Similar mannitol concentrations were achieved by expressing the *mltd* gene in tobacco, which led to improved biomass production under saltstress. Trehalose, a non-reducing disaccharide, has also been overproduced in tobacco²¹. This was accomplished by introducing the TPS1 gene, encoding trehalose-

6-phosphate synthase from yeast. The transgenic tobacco plants were assessed for drought tolerance and, although the trehalose concentration was <5 mM in the cytosol, both improved water retention and desiccation tolerance were demonstrated. Again, these results cannot be explained by osmotic adjustments facilitated by trehalose, and appear to be caused by the osmoprotective properties of trehalose itself.

In another approach to improve the drought tolerance in transgenic tobacco, a fructan-producing enzyme system was introduced²². The *Bacillus subtilis sacB* gene, encoding a fructosyl transferase, was fused to carboxypeptidase-Y vacuolar targeting signal from yeast, directing the expressed polypeptide to the vacuole. The plants contained about 0.35 mg fructan g⁻¹ fresh weight and had increased biomass under drought stress. The authors suggested that the fructan may stimulate root development and thereby increase the ability of the plant to absorb water.

Improved membrane flexibility

There is a strong correlation between chilling sensitivity and the degree of unsaturation of fatty acids in plastid membranes of various higher plants²³. The presence of centrally positioned *cis*double bonds in the membrane lipid lowers the phase-transition temperature to approximately 0°C. Thus, by introducing enzymes capable of catalysing the formation of cis-double bonds in saturated or mono-saturated fatty acids, a chilling-resistant phenotype could theoretically be achieved. This hypothesis has been tested in two reports^{24,25}. A chloroplast ω -3 fatty acid desaturase gene, Fad7, from Arabidosis, was introduced into transgenic tobacco²⁴ The enzyme catalyses the formation of both dienoic and trienoic fatty acids. Tobacco expressing ω -3 fatty acid desaturase had increased amounts of dienoic and trienoic fatty acids, and consequently also had enhanced chilling resistance. A broad-specificity $\Delta 9$ -desaturase gene (Des9) from the cyanobacterium Anacystis nidulans was also introduced into tobacco²⁵. This enzyme introduces a *cis*-double bond in specific saturated fatty acids in various membrane lipids. Because the lipid biosynthetic activities in higher plants are localized to the plastid and endoplasmatic reticulum, the enzyme was fused to a transit peptide of the pea Rubisco small subunit in order to achieve correct targeting. The amounts

of the affected $\Delta 9$ -monosaturated fatty acids in the transgenic plants were increased up to 17-fold. Furthermore, when tobacco plants were exposed to 1°C for 11 d, the *Des9*-expressing plants showed no signs of chlorosis, in contrast to wild-type plants.

Stress-induced proteins

The transcription of genes encoding the late-embryogenesis-abundant (LEA) proteins (first characterized in seed embryos) is activated under abiotic stress. It has been hypothesized that these proteins have a protective effect, and this was tested by introducing the HVA1 gene, encoding a group 3 LEA protein from barley, into rice⁶. The transgenic plants expressed a large amount of HVA1 - 0.5-2.5% of the total soluble protein in the leaves. The plants had improved osmotic stress tolerance, as indicated by delayed development of damage symptoms and improved recovery after drought stress.

The group 3 LEA proteins share an intriguing structural similarity with type 1 antifreeze proteins (AFPs) found in certain arctic fish species. These proteins contain 11-amino-acid motifs that form amphiphilic α -helical secondary structures. The AFPs have a unique ability to neutralize ice nucleators and inhibit ice recrystallization. Several attempts have been made to express AFPs in transgenic plants^{15,26}. However, even though inhibition of ice recrystallization was detected in transgenic tobacco¹⁵, and it has been demonstrated that the accumulation of AFPs is cold specific²⁶, there is still no evidence for protection from freezing damage *in vivo*.

Scavenging reactive intermediates

Several reports have shown that salt, freezing and drought stress are also accompanied by the formation of reactive oxygen intermediates. These toxic molecules damage membranes, membranebound structures and macromolecules, especially in the mitochondria and chloroplast²⁵, resulting in oxidative stress⁴. Evidence for this is that freezing- and salinity-tolerant plants also have well-developed antioxidant defences, and by pretreating plants with one form of stress it is often possible to increase the tolerance to a different stress factor. Transgenic plants have been used more recently to study the relationship between abiotic stress tolerance and a functional antioxidant-defence system²⁷. Antioxidant systems in plants consist of enzymes that can scavenge oxygen radicals, such as superoxide dismutases (SODs), peroxidases, catalases and glutathione reductases⁴.

The SODs are essential components in almost all plant antioxidant defences, catalysing the dismutation of two superoxide radicals into oxygen and hydrogen peroxide. The SOD isoenzymes can be divided into three different classes according to their metal cofactor: copper/zinc (Cu,Zn); manganese (Mn); and iron (Fe). Plants generally contain Fe-SOD and/or Cu,Zn-SOD in the chloroplasts; Mn-SOD in the mitochondria; and Cu,Zn-SOD in the cytosol²⁸. When removing superoxide radicals, SOD and peroxidases/catalases often work in concert, with SOD mainly removing superoxide radicals and concomitantly produced peroxide removed by peroxidases and catalases.

The Mn-SOD cDNA from *Nicotiana plumbaginifolia* has been introduced into alfalfa²⁹. Through the use of two different transit peptides, the Mn-SOD was expressed either in the chloroplasts or the mitochondria. A three-year field trial indicated that yield and survival of the transgenic plants were significantly improved. However, it may be too simplistic to think that the observed effects of the transgene are solely caused by the introduced Mn-SOD activity. A more plausible explanation is that the overall stressdefence system is enhanced by the peroxide produced, as it has been shown to elicit several stress-tolerance-conferring genes³⁰. Mn-SOD has also been expressed in both chloroplasts and mitochondria of transgenic tobacco³¹. Ozone was used to evaluate the ability of the transgene to protect plants from ambient oxidative stress. Transgenic plants in which the Mn-SOD was targeted to the chloroplasts demonstrated a threefold and twofold reduction in leaf injury as compared with wild-type and transgenic, Mn-SODexpressing (mitochondria) tobacco, respectively. This can be explained by the ability of ozone to increase the formation of 8hydroguanine in the chloroplast DNA and to destroy chlorophylls and carotenoids.

Another of the SOD isoenzymes, Fe-SOD from *Arabidopsis*, has also been expressed in transgenic tobacco³². When targeted to the chloroplast, this enzyme protected both the plasmalemma and photosystem II against superoxide generated during illumination of leaf discs impregnated with methyl viologen by scavenging radicals. However, overproduction of Fe-SOD did not improve the tolerance to chilling-induced photoinhibition in leaf-disc assays or to salt stress at the whole-plant level.

An interesting approach for increasing oxidative stress tolerance relies on the expression of glutathione reductase (GR) and Cu,Zn-SOD, both separately and together in the cytosol of transgenic tobacco³³. The genes encoding these enzymes were derived from *E. coli* and rice, respectively. Leaf discs of transgenic and control plants were subjected to paraquat, a superoxide-generating herbicide that mimics photooxidative stress; the tolerance was assessed by measuring electrolyte leakage. The GR/Cu,Zn-SOD-expressing plants exhibited less damage than wild-type, GR and Cu,Zn-SOD controls. Furthermore, a GR-antisense construct was also introduced into tobacco, rendering a paraquat-sensitive phenotype. Collectively, these results show that both GR and Cu/Zn-SOD play crucial roles in protecting plants from oxidative stress, and thus probably also from abiotic stress.

Hypoxia- and anoxia-reducing proteins

Oxygen stress is often caused by flooding. Oxygen is involved in respiration and several crucial biosynthetic pathways such as the synthesis of chlorophylls. Plants have evolved different strategies to cope with hypoxia: altered root architecture; increased internal oxygen transport by a larger aerenchyma; radial loss of soluble substances by altered cortex structure; induction of enzymes, export mechanisms and enzyme systems to avoid toxication by fermentation end-products; and production of oxygen-binding proteins.

Plant hemoglobins were first discovered in the nitrogen-fixing root nodules, occupied by Rhizobium or Frankia-type bacteria, of leguminous plants. It was later suggested that a hemoglobin gene may be a component of all plants, and that the role for non-legume hemoglobin was not to facilitate oxygen diffusion, but rather to function as an oxygen-sensing device. However, characterization of the non-symbiotic hemoglobin genes of Casuarine glauca has increased speculation that the hemoglobin may facilitate oxygen diffusion³⁴. This hypothesis was tested by expressing a bacterial gene, encoding Vitreoscilla hemoglobin (VHb) in transgenic tobacco³⁵. The VHb-producing plants exhibited intriguing characteristics: compared with non-transgenic control lines, their germination time was halved and the dry weight was doubled after 35 d growth. Moreover, the enhanced growth of the plants was associated with a 30-40% increase in total chlorophyll content. As seed germination occurred under anaerobic or micro-aerobic conditions, a plausible explanation for the shorter germination times may be a shorter fermentation period during germination. This may lead to less toxic waste products from the fermentation process. The increased chlorophyll concentration in the transgenic plant may be explained by the strong oxygen dependence of the chlorophyll biosynthesis, which at the onset of seedling development occurs at the expense of respiration.

Future prospects

All the necessary techniques exist for isolating stress-response genes and introducing them into crops. Moreover, many advances have recently been achieved in understanding the metabolic network in plants – essential for predicting the effects of introducing a foreign gene. Now it needs to be known how plant roots sense environmental stress and how stress signals are transduced into altered gene expression. Plant hormones, such as ABA and 1aminocyclopropane-1-carboxylic acid (ACC), play important roles, but their actions are still not fully understood. It is also important to mimic nature and only activate the necessary genes during stress, in order to minimize effects on unstressed growth. One way to achieve this is to use osmotically inducible promoters from naturally occurring stress-response systems.

One approach for engineering extreme stress tolerance may be to introduce genes from different stress responses into a single plant. If, for example, osmoprotectant-producing, membranemodifying, and superoxide-scavenging enzymatic activities are all present in a single species, there is a strong possibility that they could work in concert to overcome abiotic stress. This could be achieved either by transformation with multiple genes or by crossbreeding plants containing different stress-tolerance genes. These are theoretically straightforward operations, but there may be severe perturbances to the metabolic network of plants containing several foreign enzymatic activities. Thus, it is of paramount importance to target the location, control the level and time of expression, and ensure precursor availability for each enzyme in order to avoid negative effects.

Acknowledgements

This project was supported by the Swedish Council for Forestry and Agricultural Research (SJFR).

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